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PTO/SB/17 (01-03)
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FEE TRANSMITTAL for FY 2003 <small>Patent fees are subject to annual revision.</small>		Complete if Known		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Application Number	09/167,267	
		Filing Date	October 6, 1998	
		First Named Inventor	Seiji Shimizu	
		Examiner Name	Not Yet Assigned	
		Group Art Unit	Not Yet Assigned	
TOTAL AMOUNT OF PAYMENT	(\$)	180.00	Attorney Docket No.	U2054.0092/P092

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MAR 20 2003

Technology Center 2600

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)					
<input type="checkbox"/> Check	<input checked="" type="checkbox"/> Credit Card	3. ADDITIONAL FEES					
<input type="checkbox"/> Money Order	<input type="checkbox"/> Other						
<input type="checkbox"/> None							
<input type="checkbox"/> Deposit Account		Large Entity Small Entity					
Deposit Account Number: 50-2215							
Deposit Account Name: Dickstein Shapiro Morin & Oshinsky LLP		Fee Code Fee (\$) Fee Description Fee Paid					
The Commissioner is hereby authorized to: (check all that apply)							
<input type="checkbox"/> Charge fee(s) indicated below		1051	130	2051	65	Surcharge - late filing fee or oath	
<input checked="" type="checkbox"/> Credit any overpayments		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
<input type="checkbox"/> Charge any additional fee(s) during the pendency of this application		1053	130	1053	130	Non-English specification	
<input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.		1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
		1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
		1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
		1251	110	2251	55	Extension for reply within first month	
		1252	410	2252	205	Extension for reply within second month	
		1253	930	2253	465	Extension for reply within third month	
		1254	1,450	2254	725	Extension for reply within fourth month	
		1255	1,970	2255	985	Extension for reply within fifth month	
		1401	320	2401	160	Notice of Appeal	
		1402	320	2402	160	Filing a brief in support of an appeal	
		1403	280	2403	140	Request for oral hearing	
		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		1452	110	2452	55	Petition to revive - unavoidable	
		1453	1,300	2453	650	Petition to revive - unintentional	
		1501	1,300	2501	650	Utility issue fee (or reissue)	
		1502	470	2502	235	Design issue fee	
		1503	630	2503	315	Plant issue fee	
		1460	130	1460	130	Petitions to the Commissioner	
		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
		1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
		8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
		1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
		1810	750	2810	375	For each additional invention to be examined (37CFR 1.129(b))	
		1801	750	2801	375	Request for Continued Examination (RCE)	
		1802	900	1802	900	Request for expedited examination of a design application	
		Other fee (specify)					
		*Reduced by Basic Filing Fee Paid					
		SUBTOTAL (3) (\$)					
		180.00					

FEE CALCULATION	
1. BASIC FILING FEE	
Large Entity	Small Entity
Fee Code Fee (\$)	Fee Code Fee (\$)
1001 750	2001 375
1002 330	2002 165
1003 520	2003 260
1004 750	2004 375
1005 160	2005 80
Fee Description	
Utility filing fee	
Design filing fee	
Plant filing fee	
Reissue filing fee	
Provisional filing fee	
Fee Paid	
SUBTOTAL (1) (\$)	
0.00	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	
Total Claims	Extra Claims
.. =	x
Independent Claims	Fee from below
.. =	x
Multiple Dependent	Fee Paid
Large Entity	Small Entity
Fee Code Fee (\$)	Fee Code Fee (\$)
1202 18	2202 9
1201 84	2201 42
1203 280	2203 140
1204 84	2204 42
1205 18	2205 9
Fee Description	
Claims in excess of 20	
Independent claims in excess of 3	
Multiple dependent claim, if not paid	
** Reissue independent claims over original patent	
** Reissue claims in excess of 20 and over original patent	
Fee Paid	
SUBTOTAL (2) (\$)	
0.00	

**or number previously paid, if greater; For Reissues, see above

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Steven I. Weisburd	Registration No. (Attorney/Agent)	27,409
Signature		Telephone	(212) 896-5470
		Date	March 7, 2003

* 0-769803-000000-3 05-27-2017

2017-06-07

- ☐ This IDS is being filed after the issuance of a Final Office Action but before the payment of the Final Fee³.

Certifications:

If checked, the undersigned makes the following statement(s):

- ☐ Statement under 37 CFR § 1.97(e):

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement; or

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

- ☐ Statement Under 37 C.F.R. § 1.704(d):

Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.

Fee Required by 37 C.F.R. § 1.97(c)(2) or 1.97(d)(2):

² The IDS *must* include *either* a certification under 37 C.F.R. § 1.97(e) *or* the fee set forth in 37 C.F.R. § 1.17(p).

³ The IDS *must* include *both* a certification under 37 C.F.R. § 1.97(e) *and* the fee set forth in 37 C.F.R. § 1.17(p).

- ☒ If checked, the fee of \$180.00 set forth in 37 C.F.R. §1.17(p) is attached.

Copies of Information:

In accordance with 37 C.F.R. §1.98(a), the following are enclosed:

- ☒ A legible copy⁴ of each document (or relevant portion thereof) is cited in the attached PTO/SB/08.
- ☒ With respect to any information which is not in English, a concise explanation of the relevance, as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the information, is attached. This concise explanation is provided by way of:
- ☐ A translation of the relevant portions of the non-English language information⁵;
 - ☐ A statement explaining the relevant portions of the non-English language information;
 - ☒ A copy [and, where not in the English language, a translation] of at least the relevant portion(s)⁶ of the communication from a foreign patent office in a counterpart foreign application in which the information was cited; or
 - ☐ This information is contained in the specification of the present application.

⁴ A legible copy of the document is not required if (1) the information was previously cited by, or submitted to, the Office and considered by the Office in a prior U.S. application to which this application claims priority, provided that the prior application is properly identified in this IDS, and (2) the IDS submitted in the earlier application complies with 37 C.F.R. § 1.98(a) – (c). This exception does not apply to information cited in an International Application.

⁵ 37 C.F.R. §1.98(a)(3)(ii) *requires* that an English language translation be provided when a translation of the document, or portion thereof, “is within the possession, custody or control of, or is readily available to any individual designated in 37 C.F.R. § 1.56(c).”

☐ In accordance with 37 C.F.R. 1.98(d), copies of the cited documents are not enclosed as they were provided in application Serial No. _____, filed _____, which the present application relies upon for an earlier effective filing date under 35 U.S.C. 120.

Materiality:

Whether or not the information and references disclosed in this Information Disclosure Statement is “material” pursuant to 37 CFR 1.56, this submission is not intended to constitute an admission that any patent, publication or other information referred to therein is “prior art” for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

⁶ The relevant portion is that portion which indicates the degree of relevance found by the foreign patent office. This may be an explanation of which portion of the of the reference is particularly relevant, to which claims it applies, or merely an “X”, “Y”, or “A” indication on a search report. MPEP §609 III A(3).

In the event the actual fee is inadvertently not enclosed or if any additional fee during the prosecution of this application is not paid, the Patent Office is authorized to charge the underpayment to Deposit Account No. 50-2215.

Dated: March 7, 2003

Respectfully submitted,

By 

Steven I. Weisburd

Registration No.: 27,409

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